## **CHANGE TYPE:** Modification

**CHANGE SUMMARY:** In Section C402.5, air leakage requirements are expanded to include requirements for residential and nonresidential air leakage testing and for building envelope performance verification for buildings not tested.

2021 CODE: C402.5 Air leakage—thermal envelope (Mandatory). The <u>building</u> thermal envelope of buildings shall comply with Sections C402.5.1 through C402.5.11.1, or the building thermal envelope shall be tested in accordance with Section C402.5.2 or C402.5.3. ASTM E 779 at a pressure differential of 0.3 inch water gauge (75 Pa) or an equivalent method approved by the code official and deemed to comply with the provisions of this section when the tested air leakage rate of the building thermal envelope is not greater than 0.40 cfm/ft<sup>2</sup> (2.0 L/s · m<sup>2</sup>). Where compliance is based on such testing, the building shall also comply with Sections C402.5.7, C402.5.8 and C402.5.9.

C402.5.1 Air barriers. A continuous air barrier shall be provided throughout the building thermal envelope. The <u>continuous</u> air barriers shall be permitted to be located on the inside or outside of the building thermal envelope, located within the assemblies composing the building thermal envelope, or any combination thereof. The air barrier shall comply with Sections C402.5.1.1 and C402.5.1.2.

**Exception:** Air barriers are not required in buildings located in Climate Zone 2B.

**C402.5.1.1 Air barrier construction.** The continuous air barrier shall be constructed to comply with the following:

1. The air barrier shall be continuous for all assemblies that are the thermal envelope of the building and across the joints and assemblies.



Expanded air leakage provisions include testing requirements.





The Significant Changes series takes you directly to the most important changes that impact projects. Key changes are identified then followed by in-depth discussion of how the change affects realworld application. Photos, tables and illustrations are included to further clarify application. Available for the IBC, IRC, IFC, IECC and IPC/ IMC/IFGC, the Significant Changes publications are very useful training and review tools for transitioning to a new code edition.

- 2. Air barrier joints and seams shall be sealed, including sealing transitions in places and changes in materials. The joints and seals shall be securely installed in or on the joint for its entire length so as not to dislodge, loosen or otherwise impair its ability to resist positive and negative pressure from wind, stack effect and mechanical ventilation.
- 3. Penetrations of the air barrier shall be caulked, gasketed or otherwise sealed in a manner compatible with the construction materials and location. Sealing shall allow for expansion, contraction and mechanical vibration. Joints and seams associated with penetrations shall be sealed in the same manner or taped. Sealing materials shall be securely installed around the penetrations or as not to dislodge, loosen or otherwise impair the penetrations' ability to resist positive and negative pressure from wind, stack effect and mechanical ventilation. Sealing of concealed fire sprinklers, where required, shall be in a manner that is recommended by the manufacturer. Caulking or other adhesive sealants shall not be used to fill voids between fire sprinkler cover plates and walls or ceilings.
- **4.** Recessed lighting fixtures shall comply with Section C402.5.10. Where similar objects are installed that penetrate the air barrier, provisions shall be made to maintain the integrity of the air barrier.

**C402.5.1.2 Air barrier compliance options.** A continuous air barrier for the opaque building envelope shall comply with Section C402.5.1.2.1 or C402.5.1.2.2. the following:

1. <u>Buildings or portions of buildings, including Group R and I occupancies, shall meet the provisions of Section C402.5.2.</u>

**Exception:** Buildings in Climate Zones 2B, 3C and 5C.

2. <u>Buildings or portions of buildings other than Group R and I occupancies shall meet the provisions of Section C402.5.3.</u>

## **Exceptions:**

- 1. Buildings in Climate Zones 2B, 3B, 3C and 5C.
- 2. <u>Buildings larger than 5,000 square feet (464.5 m<sup>2</sup>) floor area in</u> <u>Climate Zones 0B, 1, 2A, 4B and 4C.</u>
- **3.** <u>Buildings between 5,000 square feet (464.5 m<sup>2</sup>) and 50,000</u> square feet (4645 m<sup>2</sup>) floor area in Climate Zones 0A, 3A and 5B.
- **3.** Buildings or portions of buildings that do not complete air barrier testing shall meet the provisions of Section C402.5.1.3 or C402.5.1.4 in addition to Section C402.5.1.5.

**C402.5.1.2.1** <u>C402.5.1.3</u> Materials. Materials with an air permeability not greater than 0.004 cfm/ft<sup>2</sup> (0.02 L/s  $\times$  m<sup>2</sup>) under a pressure differential of 0.3 inch water gauge (75 Pa) when tested in accordance with ASTM E2178 shall comply with this section. Materials in Items 1 through 16 shall be deemed to comply with this section, provided that joints are sealed, and materials are installed as air barriers in accordance with the manufacturer's instructions.

- 1. Plywood with a thickness of not less than 3/8 inch (10 mm).
- Oriented strand board having a thickness of not less than 3/8 inch (10 mm).

- **3.** Extruded polystyrene insulation board having a thickness of not less than 1/2 inch (12.7 mm).
- **4.** Foil-back polyisocyanurate insulation board having a thickness of not less than 1/2 inch (12.7 mm).
- 5. Closed-cell spray foam having a minimum density of  $1.5 \text{ pcf} (2.4 \text{ kg/m}^3)$  and having a thickness of not less than 11/2 inches (38 mm).
- Open-cell spray foam with a density between 0.4 and 1.5 pcf (0.6 and 2.4 kg/m<sup>3</sup>) and having a thickness of not less than 4.5 inches (113 mm).
- **7.** Exterior or interior gypsum board having a thickness of not less than 1/2 inch (12.7 mm).
- ${\bf 8.}\,$  Cement board having a thickness of not less than 1/2 inch (12.7 mm).
- **9.** Built-up roofing membrane.
- 10. Modified bituminous roof membrane.
- 11. Fully adhered single-ply Single-ply roof membrane.
- **12.** A Portland cement/sand parge, or gypsum plaster having a thickness of not less than 5/8 inch (15.9 mm).
- **13.** Cast-in-place and precast concrete.
- 14. Fully grouted concrete block masonry.
- **15.** Sheet steel or aluminum.
- **16.** Solid or hollow masonry constructed of clay or shale masonry units.

**C402.5.1.2.2 C402.5.1.4 Assemblies.** Assemblies of materials and components with an average air leakage not greater than 0.04 cfm/ft<sup>2</sup> ( $0.2 \text{ L/s} \times \text{m}^2$ ) under a pressure differential of 0.3 inch of water gauge (w.g.) (75 Pa) when tested in accordance with ASTM E2357, ASTM E1677, <u>ASTM D8052</u> or ASTM E283 shall comply with this section. Assemblies listed in Items 1 through 3 shall be deemed to comply, provided that joints are sealed and the requirements of Section C402.5.1.1 are met.

- **1.** Concrete masonry walls coated with either one application of block filler or two applications of a paint or sealer coating.
- 2. Masonry walls constructed of clay or shale masonry units with a nominal width of 4 inches (102 mm) or more.
- **3.** A Portland cement/sand parge, stucco or plaster not less than 1/2 inch (12.7 mm) in thickness.

**C402.5.1.5 Building envelope performance verification.** The installation of the continuous air barrier shall be verified by the code official, a registered design professional or approved agency in accordance with the following:

- <u>A review of the construction documents and other supporting data</u> <u>shall be conducted to assess compliance with the requirements in Sec-</u> <u>tions C402.5.1.</u>
- Inspection of continuous air barrier components and assemblies shall be conducted during construction while the air barrier is still accessible for inspection and repair to verify compliance with the requirements of Sections C402.5.1.3 and C402.5.1.4.
- <u>A final commissioning report shall be provided for inspections com-</u> pleted by the registered design professional or approved agency. <u>The commissioning report shall be provided to the building owner</u>

or owner's authorized agent and the code official. The report shall identify deficiencies found during the review of the construction documents and inspection and details of corrective measures taken.

**C402.5.2 Dwelling and sleeping unit enclosure testing.** The building thermal envelope shall be tested in accordance with ASTM E 779, ANSI/RESNET/ICC 380, ASTM E1827 or an equivalent method approved by the code official. The measured air leakage shall not exceed  $0.30 \text{ cfm/ft}^2$  (1.5 L/s × m<sup>2</sup>) of the testing unit enclosure area at a pressure differential of 0.2 inch water gauge (50 Pa). Where multiple dwelling units or sleeping units or other occupiable conditioned spaces are contained within one building thermal envelope, each unit shall be considered an individual testing unit, and the building air leakage shall be the weighted average of all testing unit results, weighted by each testing unit's enclosure area. Units shall be tested separately with an unguarded blower door test as follows:

- **1.** Where buildings have fewer than eight testing units, each testing unit shall be tested.
- 2. For buildings with eight or more testing units, the greater of seven units or 20 percent of the testing units in the building shall be tested, including a top floor unit, a ground floor unit and a unit with the largest testing unit enclosure area. For each tested unit that exceeds the maximum air leakage rate, an additional two units shall be tested, including a mixture of testing unit types and locations.

**C402.5.3 Building thermal envelope testing** The building thermal envelope shall be tested in accordance with ASTM E779, ANSI/RESNET/ICC 380, ASTM E3158 or ASTM E1827 or an equivalent method approved by the code official. The measured air leakage shall not exceed 0.40 cfm/ft<sup>2</sup> (2.0 L/s × m<sup>2</sup>) of the building thermal envelope area at a pressure differential of 0.3 inch water gauge (75 Pa). Alternatively, portions of the building shall be tested and the measured air leakages shall be area weighted by the surface areas of the building envelope in each portion. The weighted average test results shall not exceed the whole building leakage limit. In the alternative approach, the following portions of the building shall be tested:

- 1. <u>The entire envelope area of all stories that have any spaces</u> <u>directly under a roof.</u>
- 2. The entire envelope area of all stories that have a building entrance, exposed floor, or loading dock, or are below grade.
- **3.** <u>Representative above-grade sections of the building totaling at least</u> <u>25 percent of the wall area enclosing the remaining conditioned space.</u>

**Exception:** Where the measured air leakage rate exceeds 0.40 cfm/ft<sup>2</sup>  $(2.0 \text{ L/s} \times \text{m}^2)$  but does not exceed 0.60 cfm/ft<sup>2</sup>  $(3.0 \text{ L/s} \times \text{m}^2)$ , a diagnostic evaluation using smoke tracer or infrared imaging shall be conducted while the building is pressurized along with a visual inspection of the air barrier. Any leaks noted shall be sealed where such sealing can be made without destruction of existing building components. An additional report identifying the corrective actions taken to seal leaks shall be submitted to the code official and the building owner, and shall be deemed to comply with the requirements of this section.

**CHANGE SIGNIFICANCE:** Air leakage testing was introduced as a compliance alternative to meeting the material or assembly selection and installation provisions of the 2012 IECC, and the requirements remained largely unchanged until the expansion of requirements in the 2021 IECC. Three significant changes related to air leakage are made to the 2021 IECC:

- 1. New requirements for dwelling unit air leakage testing for Group R and I occupancies
- 2. Revised and required air leakage requirements for occupancies other than Group R and I
- 3. New performance verification requirements

Air leakage can be a significant source of energy waste in buildings, contributing to higher heating and cooling costs for building owners and occupants and impacting comfort and durability. Airtightness testing often results in increased attention to air barrier sealing and significantly reduced air leakage.

Adequate control over air leakage can provide many benefits, including reduced HVAC equipment sizing, better building pressurization and energy savings due to reduced heating and cooling of infiltrated outside air.

In moist climates, ensuring lower air leakage through whole building testing can also result in better humidity control and reduced risk of durability issues. Pacific Northwest National Laboratory's technical brief, titled, "Envelope Air Tightness for Commercial Buildings" notes:

While it is important that the materials and assemblies have limited leakage, that alone does not guarantee a low leakage building. Recent research<sup>1</sup> (Wiss 2014) shows that 40% of buildings constructed without an envelope consultant have air leakage exceeding the currently optional test standard requirements, while buildings with envelope consultants all had leakage below 0.25 cfm/ft<sup>2</sup>. Testing is the most reliable means of ensuring that the intent of this code section—limiting unintended energy waste in buildings due to air infiltration—will be achieved.

The updated air leakage testing requirements of Section C402.5 take into consideration occupancy type, climate zone and building size. Commercial buildings under 5,000 square feet can be tested using residential methods, technicians and equipment with the maximum leakage rate set at 0.30 cfm/ft<sup>2</sup> (1.5 L/s × m<sup>2</sup>) at 0.2 in. w.g. (50 Pa). This testing pressure differential is common for residential testing and is equivalent to a leakage rate of 0.40 cfm/ft<sup>2</sup> (1.5 L/s × m<sup>2</sup>) at 0.3 in. w.g. (75 Pa). Implementing the residential procedure can significantly reduce testing costs for these smaller buildings. Buildings that are not tested must meet the materials or assemblies requirements and the air barrier must be visually inspected. A final commissioning report is required for such inspections.

There are instances when the building is tested and it exceeds the maximum leakage rate. Section C402.5.3 provides reasonable options for mitigating air leakage when the rate is greater than allowed in the code but does not exceed 0.60 cfm/ft<sup>2</sup>, including the use of a smoke tracer or infrared imaging along with a visual inspection. Leaks must be sealed where it is possible to do so without destroying building components. Documentation showing all leaks that were found and mitigating measures must be submitted to the code official and building owner.

These provisions are applicable to both IECC compliance paths.

<sup>1</sup> Wiss J. 2014. ASHRAE 1478-RP Measuring Airtightness of Mid- and High-Rise Non-Residential Buildings. Elstner Associates, Inc. for ASHRAE. https://www.ashrae.org/ resources--publications/periodicals/enewsletters/esociety/2014-12-10-articles/ completed-research-december-2014.