

Welcome to the

2018 Annual Conference Educational Sessions

**Session: Approving and Selecting Building Products with Confidence -
What To Look for in Evaluation Reports**

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***Approving and Selecting Building
Products with Confidence -
What To Look for in Evaluation Reports***

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Michael Temesvary, P.E.**

October 23, 2018

Agenda

- The importance of verifying compliance of building products with applicable codes and standards
- The process for developing evaluation reports and building product listings to show compliance with codes and standards
- The difference between ICC-ES evaluation reports and building product listings
- ICC-ES tool that helps building code officials, designers and builders find code compliant products
- ICC-ES program updates
- Open discussion / Q & A

Introduction to ICC-ES



The building codes cannot realistically include every possible product used in construction.

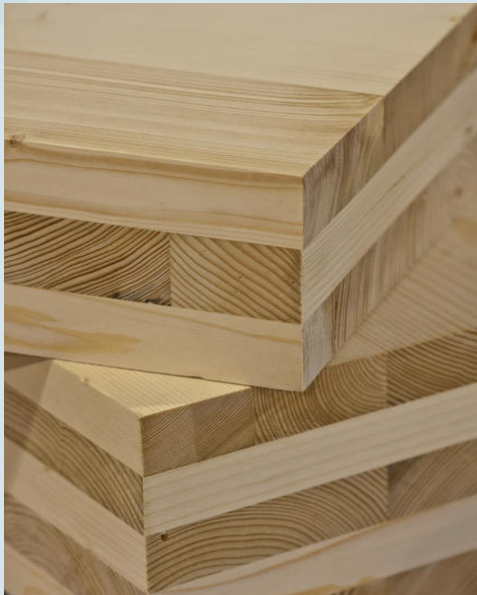
Introduction to ICC-ES



- The building codes would be unmanageable in size!
- Maintaining such a code would be impractical.

Introduction to ICC-ES

Many new and innovative building products enter the construction marketplace every year. They cannot possibly be incorporated into the codes.



Introduction to ICC-ES



If these products are not in the code, then...

...how are they approved?

ICC

- Introduction to ICC Evaluation Service
 - Establishment of ICC as part of the three legacy building code organizations, including ICBO, SBCCI and BOCA. This merger also established ICC Evaluation Service (ICC-ES) in 2003.
 - Code publisher and building code official membership
 - Along with IAS, SKGA and GC, ICC-ES is a subsidiary of the ICC non-profit parent organization



About ICC Evaluation Service (ICC-ES)

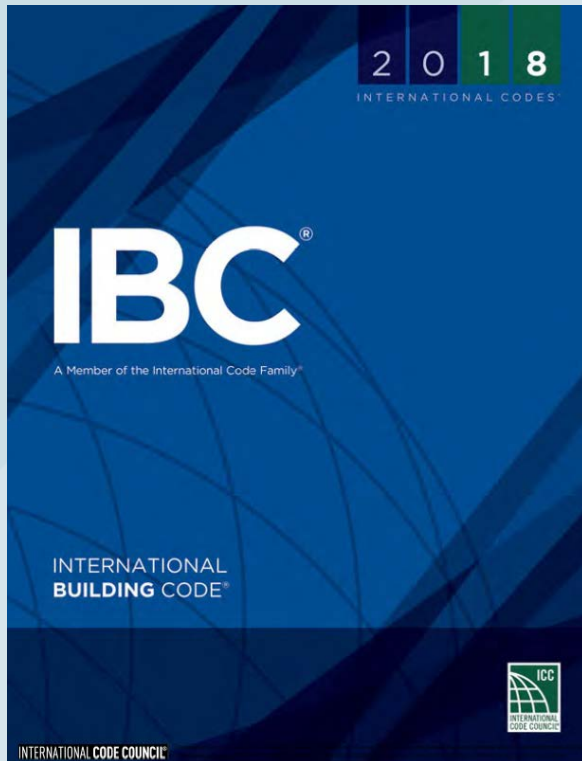
- ISO 17065 accredited assessment body most widely recognized and accepted by building code officials
- Nonprofit, limited liability company
- Evaluation Service for innovative building products and code referenced building products
- Provides evidence of code compliance in accordance with Section 104.11 and code referenced standards
- Not every building product is referenced in the IBC, therefore there needs to be a mechanism for product evaluation to prove compliance with code requirements



About ICC-ES

- Created as an extension of building code official's staff, and governed by a Board comprised of building code officials
- Serves as valuable resource to building departments across the United States
- Provides building departments and industry with technical support for its services
- An organization with a dedicated staff of:
 - Licensed design professionals
 - Product evaluation specialists

Alternative Materials, Design and Methods of Construction



IBC Section 104.11

Contains provisions which allows for products not specifically covered in the code, provided that the products are in compliance with the intent & purpose of the code.

International Building Code®

Section 104.11 Alternative materials, design and methods of construction and equipment

*The provisions of this code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by this code, provided that any such alternative has been approved. **An alternative material, design or method of construction shall be approved where the building official finds that the proposed design is satisfactory and complies with the intent of the provisions of this code, and that the material, method or work offered is, for the purpose intended, at least not less than the equivalent of that prescribed in this code in quality, strength, effectiveness, fire resistance, durability and safety.** Where the alternative material, design or method of construction is not approved, the building official shall respond in writing, stating the reasons why the alternative was not approved.*

International Building Code®

Section 104.11

104.11.1 Research reports.

Supporting data, where necessary to assist in the approval of materials or assemblies not specifically provided for in this code, shall consist of valid research reports from approved sources.

104.11.2 Tests.

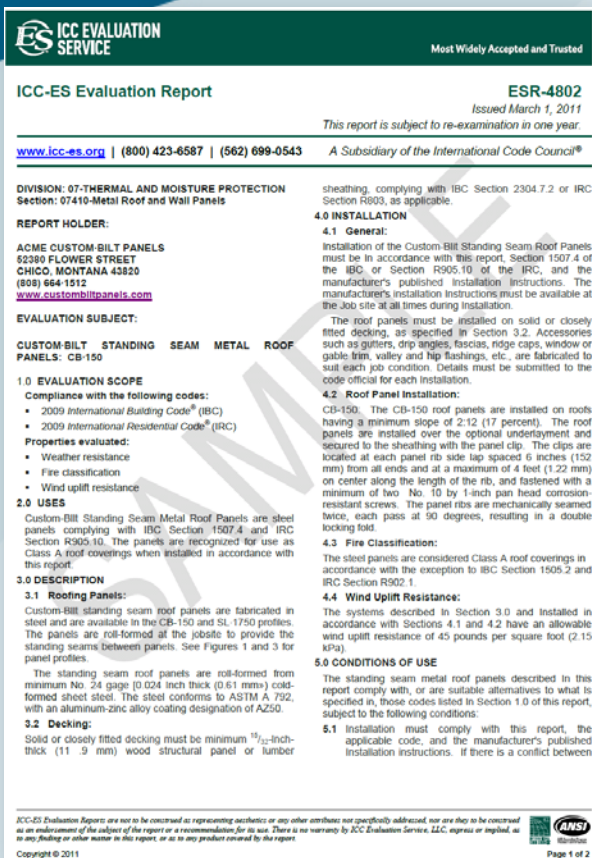
Whenever there is insufficient evidence of compliance with the provisions of this code, or evidence that a material or method does not conform to the requirements of this code, or in order to substantiate claims for alternative materials or methods, the building official shall have the authority to require tests as evidence of compliance to be made at no expense to the jurisdiction. Test methods shall be as specified in this code or by other recognized test standards. In the absence of recognized and accepted test methods, the building official shall approve the testing procedures. Tests shall be performed by an approved agency. Reports of such tests shall be retained by the building official for the period required for retention of public records.

Research Reports

IBC Section 104.11.1

Research reports.

- Provide supporting data.
- Must come from approved sources.



Who Has Responsibility?

Q: Who has the authority and responsibility to review and approve building materials, design or method of construction?

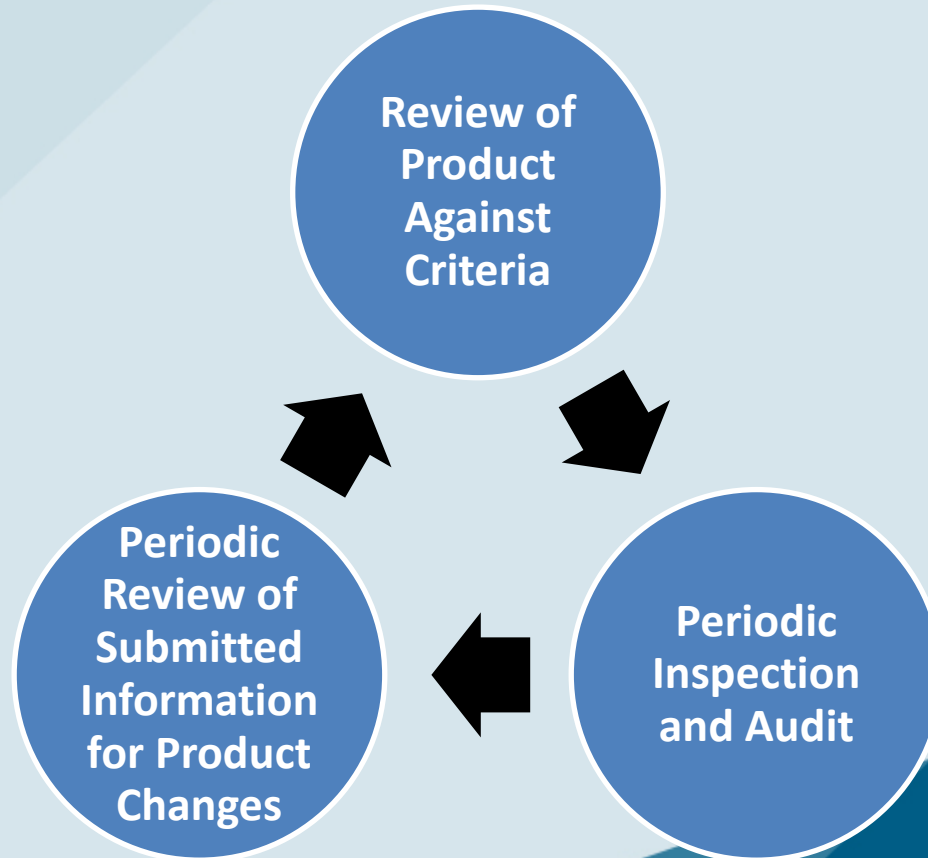
A: THE BUILDING CODE OFFICIAL



Code Compliance

- Importance of verifying compliance of building products with applicable codes and standards.
 - Streamlines review process for building code officials and design professionals
 - Provides independently assessed performance data
 - Levels playing field and allows for product innovation
 - Saves time and money

Continuous Compliance Process

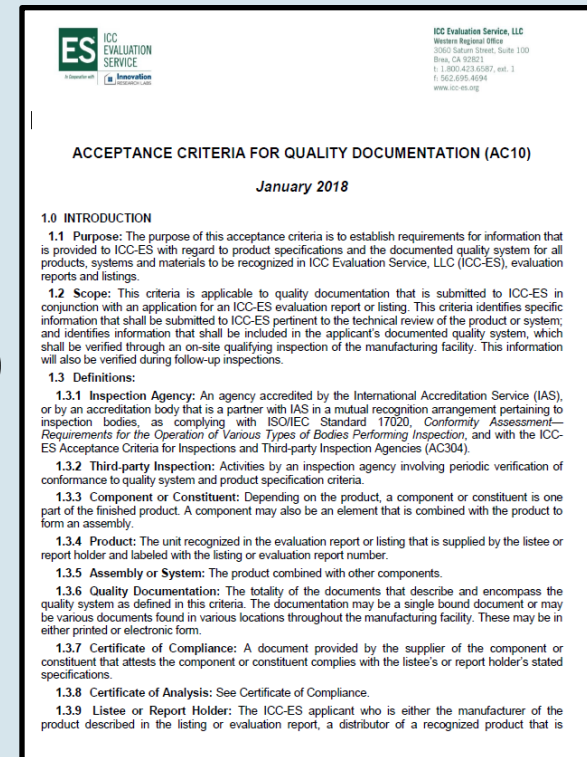


How is Compliance Verified?

- ICC-ES has hundreds of Acceptance Criteria (AC) that have been historically developed based on ESR applications from manufacturers.
- Approved AC are periodically reviewed to latest code requirements (IBC, IRC, etc.) and renewed.
- Manufacturers and other interested parties can propose revisions and contribute to AC development process.
- Once AC has been approved, manufacturers can apply for ESRs.

Manufacturing Quality Control

- Ensures product is manufactured in accordance with specifications consistent with the product tested and used on project site.
 - Qualifying inspections by ICC-ES or ISO 17020 accredited inspection agencies (MRA) to verify manufacturing quality programs prior to issuance of ESR
 - Periodic follow-up inspections for the life of ESR (annually or more frequently)
 - Acceptance Criteria for Quality Documentation (AC10)



Why Acceptance Criteria (AC)?

- ICC-ES ACs provides independent, third-party validation that products meet the minimum performance requirements of the IBC.
- ICC-ES develops ACs which serve as baselines against which innovative products can be objectively measured.
- AC may also be developed when codes are not clear in a particular area or on specific issues related to a product; when industry raises concerns regarding ESR requirements; or when a new AC is deemed necessary by the report applicant, ICC-ES staff, or the ICC-ES Evaluation Committee.

Acceptance Criteria Hearing

- In person testimony given by interested parties and proponents to Evaluation Service Committee and ICC-ES staff
- ES Committee consists of 9 – 12 building code officials from throughout the U.S.
- ES Committee serves on a voluntary basis for a year. In person AC hearings are broadcast through ICC-ES website.

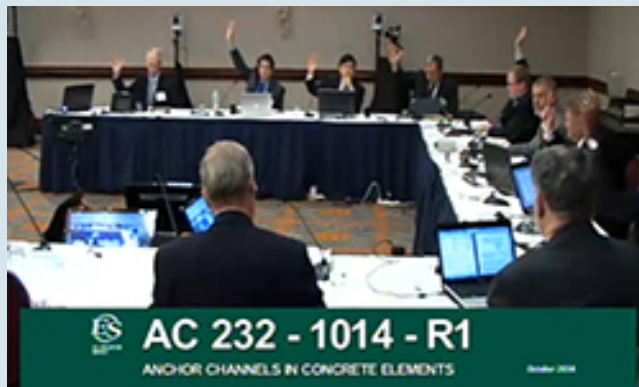


Alternative AC Development Process

- AC revisions are posted on ICC-ES website for review.
- Nonstructural revisions, do not involve life safety and are already addressed in nationally recognized standards or generally accepted industry standards, or minor / noncontroversial.
- AC can also be approved by the ES Committee based on submission of electronic testimony through the ICC-ES webpage.
- If AC is not approved, then it may move to the primary AC process and then heard through in-person testimony

Acceptance Criteria

- Open process. ICC-ES seeks public input.
- Postings held for 30 days on-line for submittal of comments by interested parties
- Building code officials, designers and manufacturers have opportunity to participate and share their technical expertise, experience and perspective



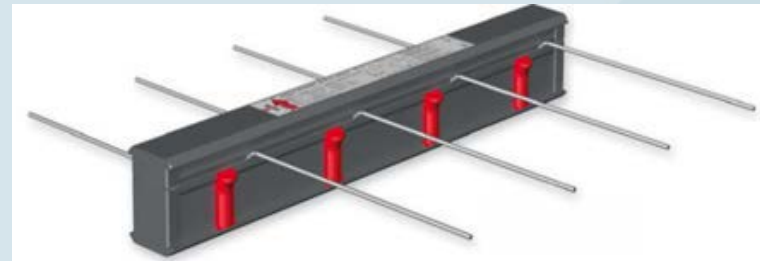
Acceptance Criteria

- Industry groups attend ICC-ES AC hearings and provide input
- Opportunity to get involved and provide technical input on seismic, wind, fire resistance and other areas of expertise
- Recently developed AC for innovative products



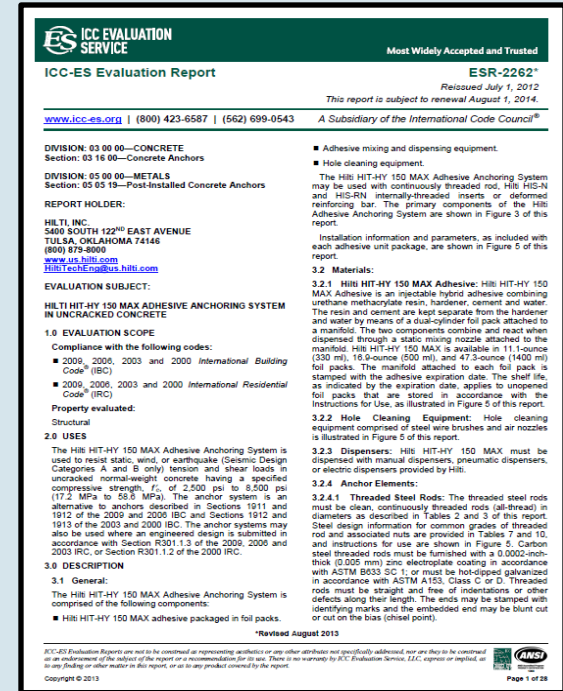
Quantification of Building
Seismic Performance
Factors

FEMA P695 / June 2009



Evaluation Reports

- Internal technical staff review – test reports and manufacturing quality documents
- Examples of innovative product ESRs:
 - Concrete and masonry anchors
 - Fasteners
 - Reinforcing fibers
 - Cross-laminated timber panels
 - Joist hangers and cold-formed steel connectors
 - Metal deck, joists and framing
 - Diaphragm and shear wall systems
 - Suspended ceiling systems



What's in an ICC-ES Evaluation Report

ICC-ES Evaluation Reports from ICC Evaluation Service® are the most preferred resource used by code officials to verify that new and innovative building products comply with code requirements. The ICC-ES Evaluation Reports provide information about what code requirements or acceptance criteria were used to evaluate the product, how the product should be installed to meet the requirements, how to identify the product, and much more. ICC-ES Evaluation Reports are divided into eleven major areas.

- 1** **CSI Division Number**—ICC-ES Evaluation Reports, and the building products represented in them, are organized according to the Construction Specifications Institute's (CSI) Masterformat system.
- 2** **Report Holder**—The name and address of the company or organization that has applied for the ICC-ES Evaluation Report.
- 3** **Evaluation Subject**—The specific product(s) covered by the report.
- 4** **Evaluation Scope**—The code(s) that were used to evaluate the product.
- 5** **Properties Evaluated**—A brief description of the properties the product was evaluated against such as fire resistance and wind resistance. This section also shows if the product can be used for structural purposes.
- 6** **Uses**—Identifies the scope of the ICC-ES Evaluation Report and relates the product evaluated to code provisions.
- 7** **Description**—Provides a general description of the product and its features, such as length, thickness, etc.
- 8** **Installation**—Identifies general and often specific requirements to help the inspector ensure the product is installed properly according to the code requirements or acceptance criteria.
- 9** **Conditions of Use**—Statement that the product, as described in the ICC-ES Evaluation Report, complies with or is a suitable alternative to the requirements of the applicable code and a list of conditions under which the report is issued.
- 10** **Evidence Submitted**—Data (i.e. test reports, calculations, installation instructions) that was used in evaluating the product.
- 11** **Identification**—Information that can be used to identify the product, including the manufacturer's name, product code, ICC-ES Evaluation Report number, etc.

ICC EVALUATION SERVICE

Most Widely Accepted and Trusted

ESR-4802

Reissued March 1, 2012

This report is subject to renewal February 1, 2014.

www.icc-es.org | (800) 423-6587 | (562) 699-0543

A Subsidiary of the International Code Council®

1

DIVISION: 07 00 05—THERMAL AND MOISTURE PROTECTION

Section: 07 30 05—Roofing Felt and Underlayment

2

REPORT HOLDER:

ACME UNDERLAYMENTS UNLIMITED
 52300 FLOWER STREET
 CHICO, MONTANA 59320
 (800) 664-1512
www.underlaymentsunlimited.com

3

EVALUATION SUBJECT:

UU 100 UNDERLAYMENT FOR ASPHALT SHINGLE ROOF COVERINGS IN SEVERE CLIMATE AREAS

4

1.0 EVALUATION SCOPE

Compliance with the following codes:

- 2012 and 2009 International Building Code® (IBC)
- 2012 and 2009 International Residential Code® (IRC)

Properties evaluated:

Ice barrier

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2.0 USES

UU 100 is a self-adhering, rubberized asphalt membrane complying with ASTM D1970. That is used over plywood substrates as ice barriers as specified in Chapter 15 of the IBC and Chapter 9 of the IRC.

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3.0 DESCRIPTION

The UU 100 has a granule surfacing. The membrane has a silicone-treated release paper on the back that is removed prior to attachment to plywood sheathing. The membrane is a minimum of 0.040 inch (1.02 mm) thick and is supplied in rolls 36 inches (914 mm) wide and 66.7 feet (20.3 m) long.

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4.0 INSTALLATION

Installation of the UU 100 membrane must comply with this report and the manufacturer's published installation instructions. The manufacturer's published installation instructions and this report must be available at the jobsite at all times during the installation.

Prior to application of the membrane, the deck surface must be free of frost, dust and dirt, loose nails and other protrusions. Damaged sheathing must be replaced. Installation is limited to plywood substrates. The membrane is designed for applications when the ambient air temperature is above 40°F (4.4°C).

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Vertical ends and horizontal edges must be overlapped a minimum, respectively, of 6 inches (152 mm) and 3 1/2 inches (89 mm). Horizontal edge overlaps must run with the flow of water in a shingling effect. A minimum of two layers of the membrane must be applied, starting at the lower edge (eave) of the roof, and extend a minimum of 24 inches (610 mm) inside the exterior wall line of the building. Final coverage width must comply with the code.

Installation of the roof covering can proceed immediately following application of the membrane. The membrane must be covered by an approved roof covering as soon as possible. For reroofing applications, the same procedures apply after removal of the old roof covering and roofing felt to expose the plywood roof deck.

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5.0 CONDITIONS OF USE

The UU 100 membrane described in this report complies with, or is a suitable alternative to what is specified in, those codes listed in Section 1.0 of this report, subject to the following conditions:

5.1 Installation must comply with this report and the manufacturer's published installation instructions. In the event of a conflict between the manufacturer's published installation instructions and this report, this report governs.

5.2 Installation is limited to use on plywood substrates on structures located in areas where nonclassified roof coverings are permitted.

5.3 Installation is limited to use with roof coverings that are mechanically fastened through the underlayment to the sheathing or rafters.

5.4 Installation is limited to roofs with ventilated attic spaces, in accordance with the requirements of the applicable code.

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6.0 EVIDENCE SUBMITTED

6.1 Data in accordance with the ICC-ES Acceptance Criteria for Self-Adhered Roof Underlayment for Use as an Ice Barrier in Severe Climate Areas (AC48), dated February 2012.

6.2 Reports of testing in accordance with ASTM D1970.

11

7.0 IDENTIFICATION

The membrane is identified by labels on the rolls or packaging, displaying the Acme Underlayments Unlimited's name and address, the product name, the evaluation report number ESR-4802.

ICC-ES Evaluation Reports are not to be construed as representing warranties or any other certification not specifically addressed, nor are they to be construed as an endorsement of the subject of the report or a recommendation for its use. There is no warranty by ICC Evaluation Service, LLC expressed or implied, as to any finding or other matter in this report, or as to any product covered by the report.

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Evaluation Report Maintenance

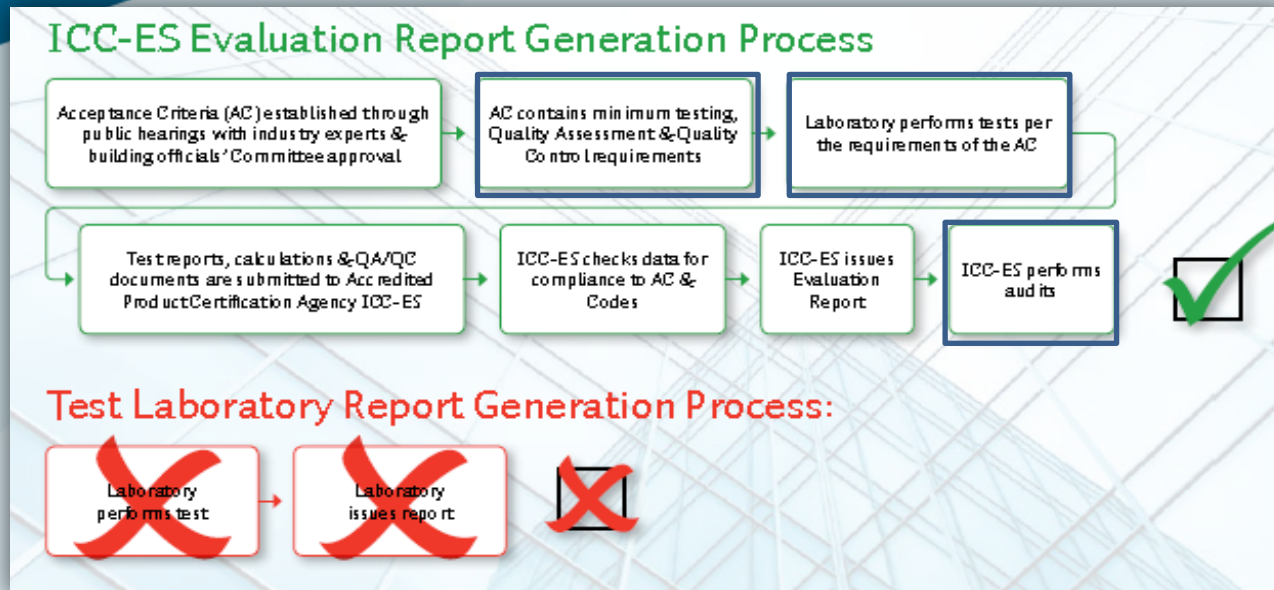
- ESRs and listings are valid for a specific duration. Check the scope of the report!
- New ESRs are renewed one year after issuance. After first year, one- or two-year renewal options exist.
- Manufacturers must re-apply for product listings annually.
- An ESR or listing can undergo revisions at any time (Editorial, Technical).



Test Labs

- ISO 17025, General requirements for the competence of testing and calibration laboratories
- IAS accreditation for ICC-ES AC and reference test standards
- Requires ongoing assessment of test lab for proficiency, quality control, calibrations, etc.
- ICC-ES AC85 Acceptance Criteria for Test Reports
 - Test labs and independent product sampling

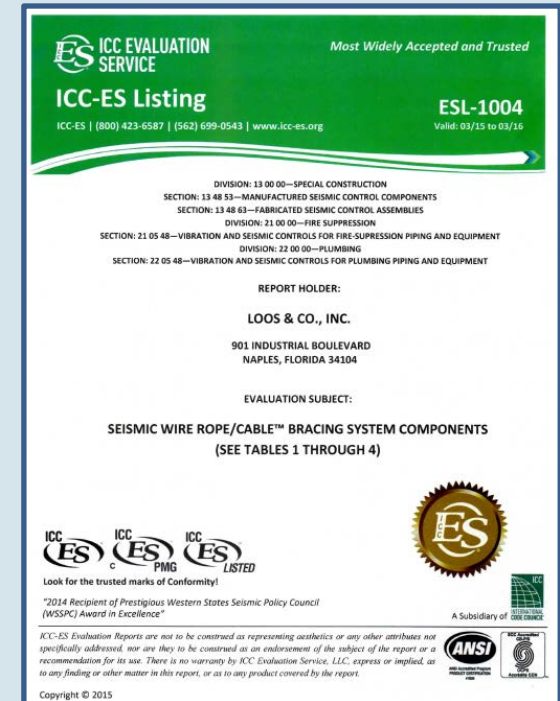
Why not directly accept a test report?



- ICC-ES requires data from accredited or approved test labs.
- ICC-ES requires data based on a test standard or AC.
- ICC-ES ascertains continuous compliance through inspections.
- ICC-ES reviews data so you don't have to.

Building Product Listings

- Different than ICC-ES Evaluation Reports
- Listings are intended for products referenced in the code
- Listings provide independent, third party evidence of compliance with code requirements in consensus standards (e.g. ASTM, ANSI, ACI, AISI, AISC, UL, NFPA).



ESR vs. ESL

Evaluation Report (ESR)

- ✓ Proof of compliance to codes and acceptance criteria
- ✓ Safety
- ✓ Inspections
- ✓ Complex and innovative products
- ✓ Evaluation time varies according to complexity of the product

Listing (ESL)

- ✓ Proof of compliance to codes and standards referenced in codes
- ✓ Safety
- ✓ Inspections
- ✓ Traditional products
- ✓ Quick evaluation

It's all Part of a Process!

Initial contact and estimation of capabilities and cost



Manufacturer submits application along with supporting documentation



Products tested at accredited testing lab



Initial inspection of manufacturing process



Successful evaluation and an ICC-ES evaluation report issued



Continuous Compliance Process:

Inspections to verify products are manufactured according to report or listing, and annual file review

Code Conformity Tool

Evaluation reports and listings can be accessed for free at:

- www.icc-es.org
- www.icc-es.org/listing
- www.icc-es.org/pmg
- www.icc-es.org/ep
- Or, through e-Codes



Other ICC-ES Programs

- ICC-ES PMG Program – Plumbing, Mechanical and Gas products evaluated by ICC-ES. Separate evaluation reports are issued for these products



The ICC-ES Mark of Conformity



- The ICC-ES Mark of Conformity means that products have undergone a rigorous evaluation.
- Look for the ICC-ES Marks of Conformity on building construction products and packaging before approving for installation.



Why obtain an ICC-ES Report?



- Evidence that product complies with code or code referenced standards
- Proof that new or innovative products can be used in construction projects
- ICC codes are used in all 50 states and internationally
- ICC-ES and ICC back code compliance of the evaluated product
- Reflect use of unique, easily identifiable listing marks

Updates

- ✓ EPA recognition of ICC-ES as a third party certifier under the Formaldehyde Emission Standards for Composite Wood Products Rule
- ✓ Combined listing program with APA – The Engineered Wood Association to PRG-320 for Cross-Laminated Timber products
- ✓ LADBS recognition of ICC-ES reports as proof of compliance to seismic requirements of the California and City of Los Angeles building codes. ICC-ES now issuing Evaluation Report Supplements to the LABC and LARC.



Updates

- ✓ ICC-ES received California Air Resources Board Approval to Conduct Third Party Certification Services for Composite Wood Products (CARB Approval)
- ✓ Cooperation with Twining, Inc. for Testing and Certification of Supplementary and Alternative Cementitious Materials to help contribute to reduction of CO₂ Emissions Enhancing Sustainability
- ✓ Continued cooperation with Innovation Research Labs for one-stop testing, listing and product evaluation service for Building, Energy and PMG product manufacturers



Closing Comments

- Visit the ICC-ES website and sign up for the mailing list. Stay informed of ES updates.
- Require ESRs from product manufacturers as part of enforcement in your jurisdiction
- Provide feedback to ICC-ES as part of the AC process or when questions arise regarding ESRs or AC.
- Questions?

ICC-ES Contacts

www.icc-es.org or link from

www.iccsafe.org

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